



CALAMBA WATER DISTRICT

Risk Assessment No		SECTION/DEPARTMENT:			CONDUCTED BY:				REVIEWED & APPROVED BY/DATE:			LATEST REVIEWED DATE:			NEXT REVIEW	
RA-06		INTERNAL QUALITY AUDIT			CParingit				Engr. Joselito Gillera			Jan 6, 2017			Jan 6, 2018	
RISK IDENTIFICATION					RISK EVALUATION				RISK CONTROL/ACTION			RE-RISK EVALUATION				
NO	ACTIVITY	RISK ORIGIN	POTENTIAL FAILURE	EFFECT	EXISTING CONTROL	L		RISL LEVE L/ RPN	ADDITIONAL RISK CONTROL	S		RISL LEVE L/ RPN	RESPONSIBLE		INTERFACING DOCUMENT REF./NO	
						L	S			S	L		Internal	Audit	Internal	Audit
1	Audit Planning	INTERNAL	Some areas were not audited	Minor Nonconformity	Establish a documented internal audit process	3	4	12	Determine areas to be audited and if not conducted	2	3	6	Internal Team	Audit	Internal	Audit
2	Conduct of Audit	INTERNAL	Potential Minor and Major findings might occur	Repeat Audit by Certifying body	Ensure to conduct internal audit and management review	3	4	12	Conduct the activity effectively	2	3	6	Internal Team	Audit	Internal	Audit
					Compliance to the requirements of ISO 9001:2015							0	Internal Team	Audit	Internal	Audit
					Compliance to the applicable legal and other requirements							0	Internal Team	Audit	Internal	Audit
3	Issuance of CAR		Late issuance of CAR	Delay on some corrective actions	Include in planning the date of Consolidation	3	4	12	Consolidation of findings	2	3	6	Internal Team	Audit	Internal	Audit
					Set deadline for Auditor submission of Audit Checklist and Report				On-time submission of checklist by Auditors	2	3	6	Internal Team	Audit	Internal	Audit
4	Monitoring of CAR		Some findings might be left OPEN for too long	Recurrence of Findings	Monitor and measure the Internal Audit Performance	3	4	12	Include schedule	2	3	6	Internal Team	Audit	Internal	Audit
					Monitor the proposed date of implementation and completion of actions				delegation of internal audit tasks	2	3	6	Internal Team	Audit	Internal	Audit
5	Verification of CAR		Some findings might not be verified	Recurrence of Findings	Monitor the proposed date of implementation and completion of actions	3	4	12	Include in schedule	2	3	6	Internal Team	Audit	Internal	Audit
					Evaluate Internal Auditor's performance				delegation of internal audit tasks	2	3	6	Internal Team	Audit	Internal	Audit



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RISK IDENTIFICATION				RISK EVALUATION			RISK CONTROL/ACTION			RE-RISK EVALUATION				
NO.	ACTIVITY	RISK ORIGIN	POTENTIAL FAILURE	EFFECT	EXISTING CONTROL	L	S	RISL LEVE L/ RPN	ADDITIONAL RISK CONTROL	S	L	RISL LEVE L/ RPN	RESPONSIBLE	INTERFACING DOCUMENT REF./NO

Likelihood of Detection		Severity of Impacts		Note: L x S = TOTAL (Indicates the risk level) S - Severity L - Likelihood "THE HIGHER THE SCORE THE MORE SIGNIFICANT AS RISK COULD BE"	Severity/Likelihood		RISK LEVEL: 15-25 High 5-12 Medium 1-4 Low
SIGNIFICANCE	RANK	SIGNIFICANCE	RANK				
Very Low	1	Very Low	1	1	Very low	Almost nil (life end)	
Low	2	Low	2	2	2	Low	Almost never, emergency (once in 10 years)
Moderate	3	Moderate	3	3	3	Moderate	From time to time, abnormal (once a year)
High	4	High	4	4	4	High	Normally occurs, normal (once a week to once a month)
Very High	5	Very High	5	5	5	Very high	Frequent (everyday)

No.	Revision Details	Revised Date	No.	Revision Details	Date Revised	No.	Revision Details	Date Revised
1			3			5		
2			4			6		